



Building Extensible Program Logics with Effect Handlers

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Program Logics for New Features

$$\{P\} e \{v. Q(v)\}$$

Weak
Memory

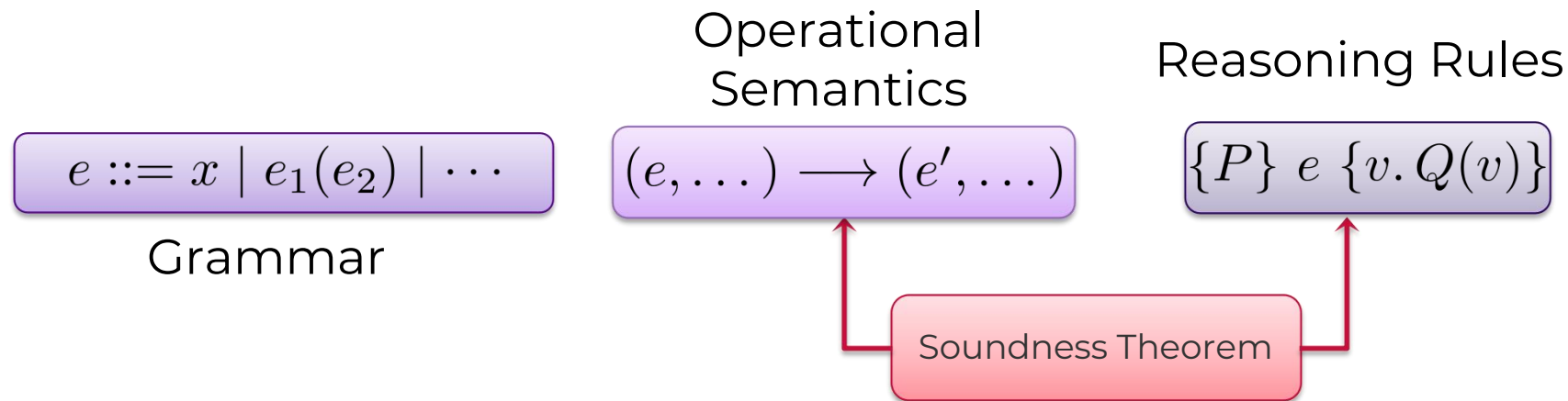
Crash-
Recovery

Probability

Persistent
Memory

Distributed
Execution

Traditional Approach



Our approach

(0) A pure calculus + logic for it λ_{\emptyset}

(1) Write interpreter
using **Effect Handlers**

$$\text{heap_run}(e) \triangleq \dots$$

$$\text{conc_run}(e) \triangleq \dots$$

$$\text{distr_run}(e) \triangleq \dots$$

Logic Developer

(2) Prove specs for interpreters

using **Hazel** [de Vilhena
& Pottier (2021)]

$$\{\dots\} \text{heap_run}(e) \{\dots\}$$

$$\Rightarrow \{\dots\} \text{ref}(v) \{\dots\}$$

$$\Rightarrow \{\dots\} !l \{\dots\}$$

$$\Rightarrow \{\dots\} l \leftarrow v \{\dots\}$$

(3) Verifying using rules derived
from interpreter specs

Program Verifier

Effect Handlers

```

try
  let  $l = \text{do}(\text{ALLOC}, 1)$  in
  let  $x = \text{do}(\text{LOAD}, l)$  in
  assert( $x = 1$ )
with
  | ALLOC( $v, k$ )       $\Rightarrow \dots ; k(\dots)$ 
  | LOAD( $l, k$ )        $\Rightarrow \dots ; k(\dots)$ 
  | STORE( $((l, v), k)$ )  $\Rightarrow \dots ; k(\dots)$ 
  | return( $v$ )         $\Rightarrow v$ 
end

```

Exception
+ k (continuation)

Our contribution

- Handler-based logics for
 - Concurrency
 - Crash recovery
 - Distributed execution
- Stronger reasoning rules
- Relational logic for refinement reasoning

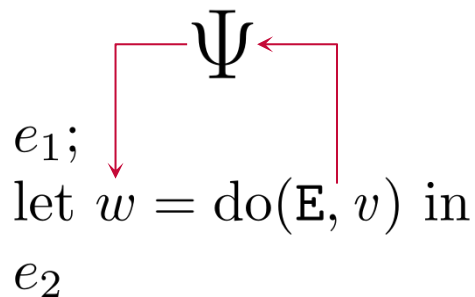


Hazel Logic [de Vilhena & Pottier (2021)]

$$\{P\} e \langle |\Psi| \rangle \{v. Q(v)\}$$

Standard Hoare triple, plus

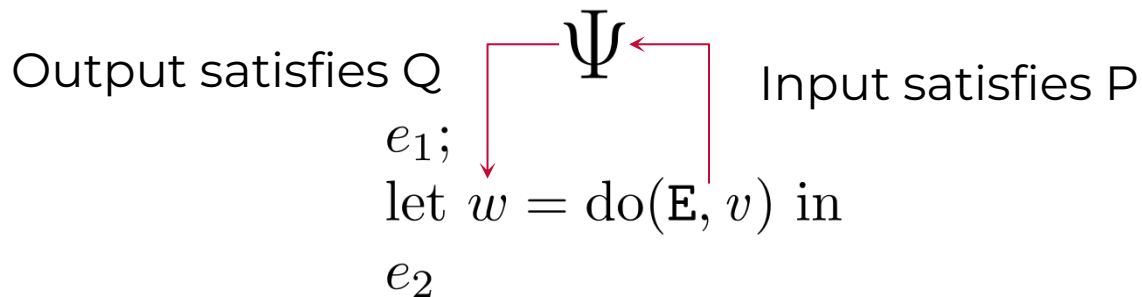
- Effects raised by e are handled by protocol Ψ .



Hazel Protocol

$$\{P\} e \langle |\Psi| \rangle \{v. Q(v)\} \quad \Psi ::= [P] (\mathbf{E}, v) [w. Q(w)] \mid \dots$$

If raising an effect \mathbf{E} with value v satisfying input condition P , the handler will return a value w satisfying output condition Q .



Reasoning in Hazel

- Effect raising rule

$$\frac{P \multimap P' \quad \forall w. Q'(w) \multimap Q(w)}{\{P\} \text{ do}(\mathbf{E}, v) \langle | \quad \Psi \quad | \rangle \{w. Q(w)\}}$$

where $\Psi = [P'] (\mathbf{E}, v) [w. Q'(w)]$

Reasoning in Hazel

$$\frac{P \multimap P' \quad \forall w. Q'(w) \multimap Q(w)}{\{P\} \text{ do}(\mathbf{E}, v) \langle | [P'] (\mathbf{E}, v) [w. Q'(w)] | \rangle \{w. Q(w)\}}$$

$$\text{load} \triangleq [l \mapsto x] (\text{LOAD}, l) [w. w = x * l \mapsto x]$$

$$!l \triangleq \text{do}(\text{LOAD}, l)$$

$$\{l \mapsto x\} !l \langle | \text{load} | \rangle \{v. v = x * l \mapsto x\}$$

Sum Protocol

$$\{P\} e \langle |\Psi| \rangle \{v. Q(v)\}$$

$$\Psi +::= \Psi_1 + \Psi_2$$

$$\frac{\{P\} \text{do}(\mathbf{E}, v) \langle |\Psi_1| \rangle \{w. Q(w)\} \vee \{P\} \text{do}(\mathbf{E}, v) \langle |\Psi_2| \rangle \{w. Q(w)\}}{\{P\} \text{do}(\mathbf{E}, v) \langle |\Psi_1 + \Psi_2| \rangle \{w. Q(w)\}}$$

Sum Protocol

$$\frac{\{P\} \text{ do}(\mathbf{E}, v) \langle |\Psi_1| \rangle \{w. Q(w)\} \vee \{P\} \text{ do}(\mathbf{E}, v) \langle |\Psi_2| \rangle \{w. Q(w)\}}{\{P\} \text{ do}(\mathbf{E}, v) \langle |\Psi_1 + \Psi_2| \rangle \{w. Q(w)\}}$$

$$\text{alloc} \triangleq [\text{True}] (\text{ALLOC}, x) [l. l \mapsto x]$$

$$\text{load} \triangleq [l \mapsto x] (\text{LOAD}, l) [w. w = x * l \mapsto x]$$

$$\text{store} \triangleq [l \mapsto x] (\text{STORE}, (l, y)) [w. w = () * l \mapsto y]$$

$$!l \triangleq \text{do}(\text{LOAD}, l) \quad \text{heap} \triangleq \text{alloc} + \text{load} + \text{store}$$

$$\{l \mapsto x\} !l \langle |\text{heap}| \rangle \{v. v = x * l \mapsto x\}$$

Installing Handlers

```
try try try
```

```
    e
```

```
    with
```

```
    | FORK( $f, h$ )  $\Rightarrow \dots$  |  $\dots$ 
```

```
    end
```

```
    with
```

```
    | ALLOC( $v, h$ )  $\Rightarrow \dots$  |  $\dots$ 
```

```
    end
```

```
    with
```

```
    | READ( $\_, h$ )  $\Rightarrow \dots$  |  $\dots$ 
```

```
    end
```

$$\{P\} e \langle | \text{state} + \text{heap} + \text{conc} | \rangle \{v.Q(v)\}$$

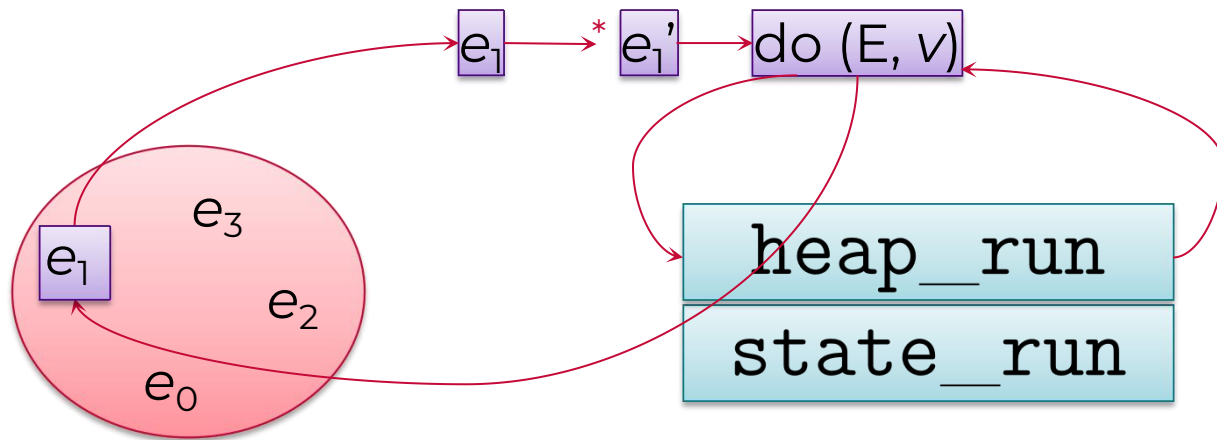
conc_run

heap_run

state_run

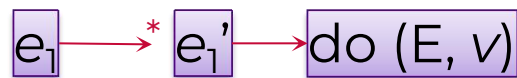
Concurrency

`conc_run`

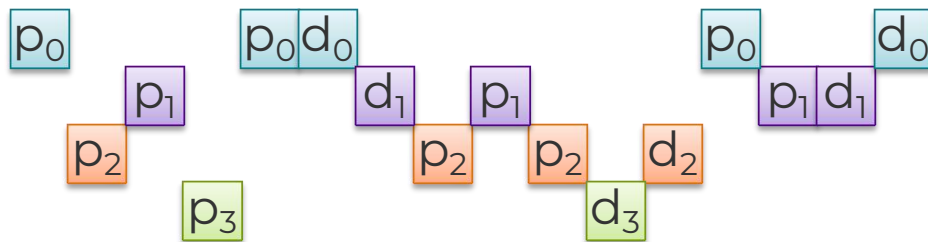
$$\{P\} e \langle | \text{state} + \text{heap} + \text{conc} | \rangle \{v. Q(v)\}$$


Thread Pool

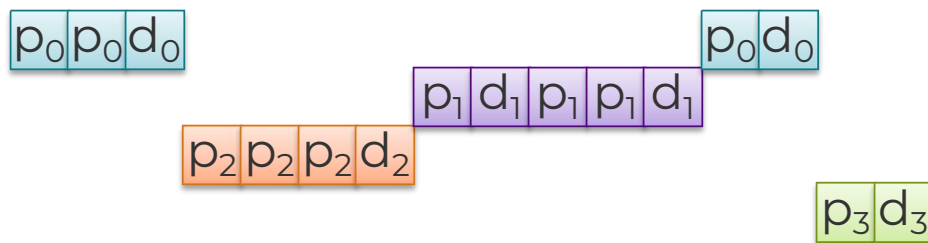
Execution Trace



Standard semantics
(preemptive)



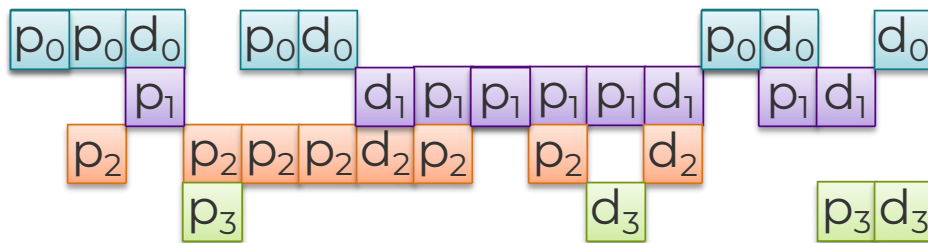
Our semantics



p = pure step d = effect step “do(…)”

Invariants

Standard semantics



does invariant access rule

$e = \text{pure}^*, \text{do}(\dots)$

$$\frac{\{P\} e \langle |\Psi| \rangle \{v. P * Q(v)\} \text{ at } (e)}{[P] \vdash \{\text{True}\} e \langle |\Psi| \rangle \{v. Q(v)\}}$$

Relational Logic

Is this rule sound w.r.t.
standard semantics?

$$\{P\} e_1 \lesssim e_2 \quad \langle |\Psi_1; \Psi_2| \rangle \{v. Q(v)\}$$

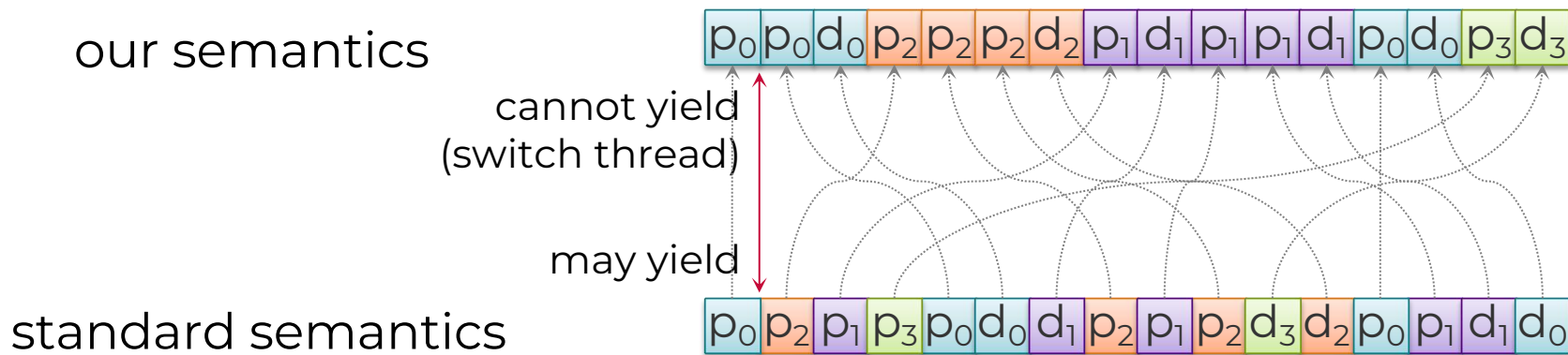


$$e_1 \lesssim e_2 \quad (\text{contextual refinement})$$

Informally, $\forall e_1 \longrightarrow^* v_1. \exists e_2 \longrightarrow^* v_2. v_1 \approx v_2$

e under standard semantics $\lesssim e$ under our semantics

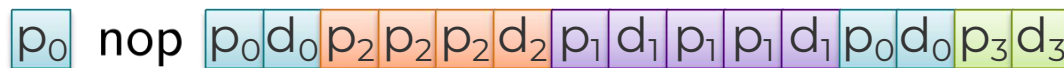
Refinement Proof



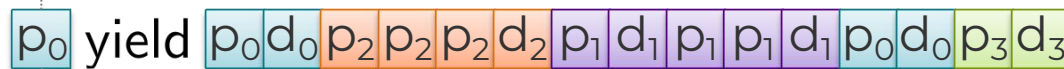
e under standard semantics $\lesssim e$ under our semantics

Refinement Proof

our semantics



emulated
standard semantics



standard semantics



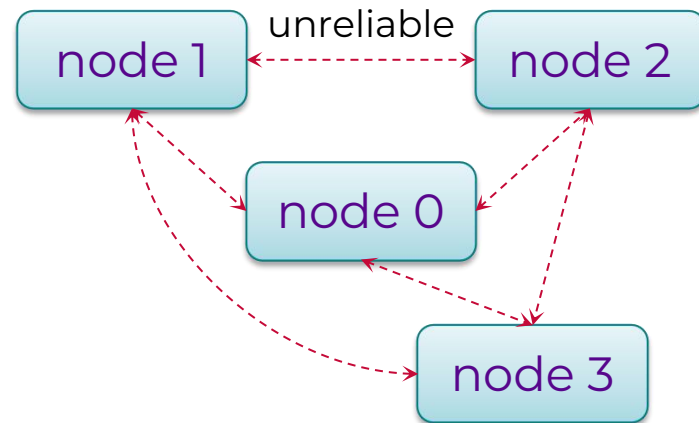
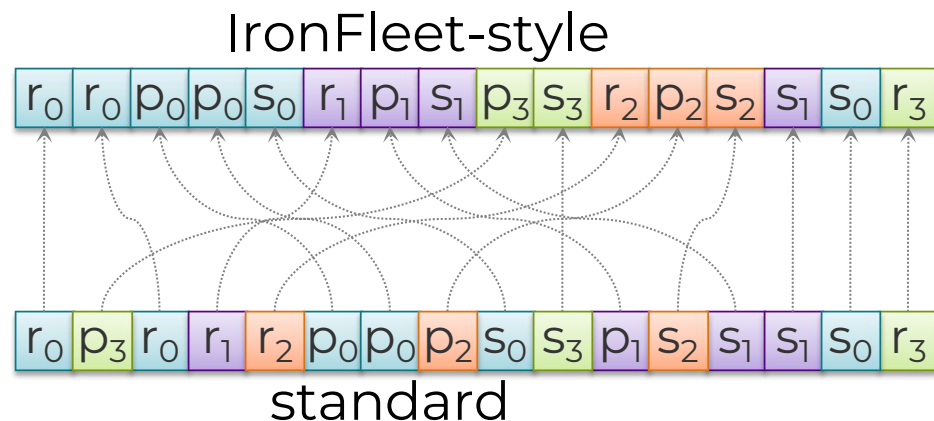
may yield

e under standard semantics $\lesssim e$ under our semantics

\uparrow
yield \lesssim nop (evidence accumulation)

Distributed System with IronFleet-style Atomic Block [Hawblitzel et al. (2015)]

r = receive p = pure step s = send



Distributed System with IronFleet-style Atomic Block [Hawblitzel et al. (2015)]

$$\frac{\{P\} e \langle |\Psi| \rangle \{v. P * Q(v)\} \quad e = (\text{recv} \mid \text{pure})^*, \text{send}}{\boxed{P} \vdash \{\text{True}\} e \langle |\Psi| \rangle \{v. Q(v)\}}$$



Summary

- Handler-based logics for

$$\{P\} e \langle |\Psi| \rangle \{v. Q(v)\}$$

- Concurrency with stronger invariant rule
- Distributed execution with IronFleet-style atomic blocks
- *Crash recovery with Perennial-style crash invariants*
- *Asynchronous disk based on crash-aware prophecy variables*

- Relational logic for refinement reasoning

$$\{P\} e_1 \lesssim e_2 \langle |\Psi_1; \Psi_2| \rangle \{v. Q(v)\}$$

Thank you for your attention!